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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/522,008	11/23/2005	Peter John Miller	KILBU P-73 / 500728. 1716		
26418 7590 06/29/2007 REED SMITH, LLP ATTN: PATENT RECORDS DEPARTMENT			EXAMINER		
			CHANG, SUNRAY		
	FON AVENUE, 29TH FLO NY 10022-7650	OR	ART UNIT	PAPER NUMBER	
new rotat,			2121		
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			06/29/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application N	0.	Applicant(s)				
Office Action Summary		10/522,008		MILLER ET AL.				
		Examiner		Art Unit				
		Sunray Chang	1	2121				
	The MAILING DATE of this communication							
Period fo								
WHIC - Exter after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR F CHEVER IS LONGER, FROM THE MAILII nsions of time may be available under the provisions of 37 ( SIX (6) MONTHS from the mailing date of this communicat period for reply is specified above, the maximum statutory re to reply within the set or extended period for reply will, by reply received by the Office later than three months after the ed patent term adjustment. See 37 CFR 1.704(b).	NG DATE OF THIS ( CFR 1.136(a). In no event, h tion. period will apply and will exp y statute, cause the application	COMMUNICATION owever, may a reply be timing size SIX (6) MONTHS from the become ABANDONEI	N. sely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status								
1)⊠	Responsive to communication(s) filed on <u>16 April 2007</u> .							
2a) <u></u> □	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.							
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.								
Dispositi	on of Claims							
<ul> <li>4)⊠ Claim(s) 1-14 and 17-22 is/are pending in the application.</li> <li>4a) Of the above claim(s) 15 and 16 is/are withdrawn from consideration.</li> </ul>								
5) Claim(s) is/are allowed.								
6)⊠	6)⊠ Claim(s) <u>1-14 and 17-22</u> is/are rejected.							
	Claim(s) is/are objected to.		•					
8) Claim(s) are subject to restriction and/or election requirement.								
Applicati	on Papers							
9)	The specification is objected to by the Exa	aminer.		,				
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.								
Priority u	ınder 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:								
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).								
* See the attached detailed Office action for a list of the certified copies not received.								
Attachmen	t(s)							
	te of References Cited (PTO-892)	4) [	Interview Summary Paper No(s)/Mail Da					
3) 🔲 Inform	e of Draftsperson's Patent Drawing Review (PTO-94 mation Disclosure Statement(s) (PTO/SB/08) or No(s)/Mail Date	48) 5) [ 6) [	Notice of Informal P					

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#### **DETAILED ACTION**

1. This office action is in responsive to the paper filed on April 16<sup>th</sup>, 2007.

Claims 1 - 16 are presented for examination.

Claims 1 - 16 are rejected.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 2. Claims 1 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Toru Futawatari (U.S. Patent No. 6,357,289 and referred to as Futawatari hereinafter), and in view of William C. Fischer, Jr. (U.S. Patent No. 4,965,879 and referred to as Fischer hereinafter)..

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Regarding independent claims 1 and 8,

Futawatari teaches,

• A control system for a load [an automatic transmission control system having a fail-safe

function ... gear mode, Abstract], the system comprising

• a first microprocessor having an output to provide a drive signal to drive the load [an

automatic transmission control unit, Abstract; Col. 1, lines 7 – 16 and Fig. 1] and

to monitor the operation of the load [a fail-safe function, Abstract; Col. 1, lines 7 - 16 and

Fig. 1].

Futawatari does not teach a second microprocessor having an output to drive the load

and being arranged to monitor the operation of the load.

Fischer teaches,

• a second microprocessor having an output to drive the load and being arranged to monitor the

operation of the load .[VMS controlling many subsystems ... receives input signals from

sensors and provides commands to actuators controlling many subsystem, Col. 3, lines 25 -

47], for the purpose of a dual fail-safe function. [Col. 7, lines 34 - 35]

It would have been obvious to a person of ordinary skill in the art at the time of

applicant's invention to modify the teaching of Futawatari to include "a second microprocessor

having an output to drive the load and being arranged to monitor the operation of the load", for

the purpose of a dual fail-safe function. [Col. 7, lines 34 – 35]

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3. Claims 2 – 7, and 9 – 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Futawatari, and further in view of Toshiro Matsuda (U.S. Patent No. 4,709,341 and referred to as Matsuda hereinafter).

(Futawatari as set forth above generally discloses the basic inventions.)

### Regarding dependent Claim 2,

Futawatari teaches a control system for a load [an automatic transmission control system having a fail-safe function ... gear mode, Abstract].

Matsuda teaches, both microprocessors monitor the current in the load. (see col 1, lines 38-42) for the purpose of carrying out a fail-safe operation. [Abstract]

It would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of **Futawatari** to include "both microprocessors monitor the current in the load", for the purpose of carrying out a fail-safe operation. [Abstract]

#### Regarding dependent Claim 3,

Matsuda teaches, a first resistor is connected between a driver output of the first microprocessor and an input of the second microprocessor (see col 4, lines 7-10), to allow the second microprocessor to monitor if the first microprocessor is attempting to turn on the load (see col 7, lines 14-19), for the purpose of carrying out a fail-safe operation. [Abstract]

### Regarding dependent Claim 4,

Matsuda teaches, a second resistor with a value less than that of the first resistor, is connected between the output of the first microprocessor and a low voltage to ensure a driver controlling the load is off whenever the output of the first microprocessor is in a high resistance state. (see col 4, lines 7-10 and also see col 4, lines 27-29), for the purpose of carrying out a fail-safe operation. [Abstract]

#### Regarding dependent Claim 5,

Matsuda teaches, at least one of the microprocessors is arranged to calculate the current of the load by measuring the voltage across it, and when the load current does not meet predetermined criteria, to switch out the load. (see col 4, lines 24-29), for the purpose of carrying out a fail-safe operation. [Abstract]

#### Regarding dependent Claim 6,

Matsuda teaches, the control system is a vehicular control system. (see col 1, lines 61-68), for the purpose of carrying out a fail-safe operation. [Abstract]

#### Regarding dependent Claim 7,

Matsuda teaches, the load is a gear box selector, a clutch selector, or a valve. (see col 3, lines 45-54), for the purpose of carrying out a fail-safe operation. [Abstract]

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Regarding dependent Claims 8 – 14,

Claims 8 - 14 are directed to the same subject matter as claimed throughout claims 1 - 7; therefore claims 8 - 14 are rejected under the same rationale as claims 1 - 7 cited above.

Regarding dependent Claims 17 - 22,

Fischer teaches,

a second microprocessor also monitors the operation of the first microprocessor and arranges the system if detect a fault from first microprocessor or from the load .[VMS controlling many subsystems ... receives input signals from sensors and provides commands to actuators controlling many subsystem, Col. 3, lines 25 – 47], for the purpose of a dual fail-safe function. [Col. 7, lines 34 – 35]

#### Response to Amendment

### Claim Rejections - 35 USC § 102 and 103

4. Applicants' arguments regarding **Futawatari** fails to teach a second microprocessor monitoring the load and the first microprocessor, which is agreed and forth 102 rejections have been withdrawn; yet, further reference **Fischer** has been cited to be combined with **Futawatari** to form a new set of rejections in current office action.

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## Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sunray Chang who may be reached Monday through Friday, between 8:00 a.m. and 5:00 p.m. EST. via telephone number (571) 272-3682 or facsimile transmission (571) 273-3682 or email <a href="mailto:sunray.chang@uspto.gov">sunray.chang@uspto.gov</a>.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Knight can be reached on (571) 272-3687.

The official facsimile transmission number for the organization where this application or proceeding is assigned is (571) 273-8300.

Anthopy Knight

Supervisory Primary Examiner

Group Art Unit 2121

Technology Center 2100

U.S. Patent and Trademark Office

June 23, 2007